

*This brochure supplement provides information about Clint Matthew Haynes that supplements the NextGen Wealth, LLC brochure. You should have received a copy of that brochure. Please contact Clint Matthew Haynes if you did not receive NextGen Wealth, LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Clint Matthew Haynes is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

## **NextGen Wealth, LLC**

Form ADV Part 2B – Individual Disclosure Brochure

*for*

### **Clint Matthew Haynes**

Personal CRD Number: 4412753

Investment Adviser Representative

NextGen Wealth, LLC  
405 Southwest Waterfall Court  
Lee's Summit, MO 64081  
(816) 287-4780  
[clint.haynes@nextgen-wealth.com](mailto:clint.haynes@nextgen-wealth.com)

UPDATED: 01/06/2019

## Item 2: Educational Background and Business Experience

**Name:** Clint Matthew Haynes **Born:** 1979

### **Educational Background and Professional Designations: Education:**

Bachelor of Science Finance, Missouri State University - 2001

### **Business Background:**

06/2014 - Present	Investment Adviser Representative NextGen Wealth, LLC
02/2013 - 08/2014	Financial Planner Cambridge Investment Research Advisors, LLC
11/2011 - 12/2012	Director of Sales The Mutual Fund Store
01/2007 - 10/2011	Senior Investment Advisor The Mutual Fund Store

07/2016

Certified Financial Planner®

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 71,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one’s ability to

- correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;
- Experience - Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
  - Ethics - Agree to be bound by CFP Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education - Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics - Renew an agreement to be bound by the *Standards of Professional Conduct*. The *Standards* prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

### **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

### **Item 4: Other Business Activities**

Clint Matthew Haynes is a licensed insurance agent. From time to time, he will offer clients advice or products from this activity. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. NextGen Wealth, LLC always acts in the best interest of the client; including in the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of NextGen Wealth, LLC in their capacity as a licensed insurance agent.

### **Item 5: Additional Compensation**

Clint Matthew Haynes does not receive any economic benefit from any person, company, or organization, other than NextGen Wealth, LLC in exchange for providing clients advisory services through NextGen Wealth, LLC.

### **Item 6: Supervision**

As the only owner and representative of NextGen Wealth, LLC, Clint Matthew Haynes supervises all activities of the firm. Clint Matthew Haynes's response information is on the cover page of this disclosure document. Clint Matthew Haynes adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.

## Item 7: Requirements For State Registered Advisers

*This disclosure is required by Missouri and Kansas state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

- A. Clint Matthew Haynes has NOT been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
  2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
- B. Clint Matthew Haynes has NOT been the subject of a bankruptcy petition in the past ten years.